

Variational Parabolic Capacity

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(J. Work with T. Kuusi, M. Parviainen)

PDES
Potential theory
Function spaces
In honour of Lars Inge Hedberg (1935-2005)

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p -parabolic equation

$$Hu = u_t - \Delta_p u = 0.$$

Definition

A p -superparabolic function u in $E \subset \mathbb{R}^{n+1}$ is a l.s.c. function satisfying the comparison principle on cylinders and it is finite on a dense subset of E .

p -parabolic equation

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Definition

Consider the set $E \subset \mathbb{R}^{n+1}$ and let $A \subset E$

$$R_A^v = \inf\{u : u \geq v \cdot 1_A, u \text{ is superparabolic in } E\},$$

the Réduite or the Reduction of v over A . Usually the function v is a superparabolic function in E , we will mostly be concerned with R_A^1 which can be called the Balayage of A .

Facts about the p -superparabolic functions

- 1 Locally bounded p -superparabolic functions are weak supersolutions [Kinnunen, Lindqvist, Ann. Math. Pura Appl. -06]

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- 2 weak supersolutions have a l.s.c. representative which is a p -superparabolic function [Kuusi, Differential Integral Equations -09]
- 3 for each Radon measure μ there is a potential u_μ which is a p -parabolic function

$$(u_\mu)_t - \Delta_p u_\mu = \mu$$

in the weak sense. [Kinnunen, Lukkari, Parviainen, JFA -10, J. Fixed Point Theory Appl. -13]

Different notions of capacity

Let our domain be the open set $\Omega_T = \Omega \times (0, T) \subset \mathbb{R}^{n+1}$:

Using the capacitary potential charge

$$C_0(K) = \mu_{R_K}(K) \quad (1)$$

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Let our domain be the open set $\Omega_T = \Omega \times (0, T) \subset \mathbb{R}^{n+1}$:

Using the maximal charge

$$C_1(E) = \sup\{\mu(\Omega_T), 0 \leq u_\mu \leq 1, \text{ in } \Omega_T, \text{supp}\mu \subset E\} \quad (2)$$

Different notions of capacity

Let our domain be the open set $\Omega_T = \Omega \times (0, T) \subset \mathbb{R}^{n+1}$:

For $p = 2$ using the function space (variational) approach

$$W = \{v \in L^2(0, T; H_0^1(\Omega)); v_t \in L^2(0, T; H^{-1}(\Omega))\}$$

Smooth functions are dense in W , and we can thus define a "capacity"

$$C_{\text{var}}(K, \Omega_T) = \inf\{\|u\|_{W(\Omega_T)}^2 : u \geq 1_K; u \in C_0^\infty(\Omega \times \mathbb{R})\} \quad (3)$$

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We can extend as

$$C_{var}(E, \Omega_T) = \inf\{\sup\{C_{var}(K); K \subset O, K \text{ compact}\}; O \supset E; O \text{ open set}\}$$

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Different notions of capacity

The capacitary potential charge gives the same capacity as the maximal charge on compact sets i.e.

Theorem (Kinnunen, Korte, Kuusi, Parviainen, Math. Ann. -13)

$$C_0(K) = C_1(K). \quad (4)$$

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What about the variational "capacity"?

Theorem (Pierre, SIAM -83)

Let $p = 2$ then

$$C_{\text{var}}(K) \approx C(K).$$

What about $p > 2$?

It was proposed by Pierre, SIAM -83 that

$$W = \{v \in L^p(0, T; W_0^{1,p}(\Omega)); v_t \in (L^p(0, T; W_0^{1,p}(\Omega)))'\}$$

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$$W = \{v \in L^p(0, T; W_0^{1,p}(\Omega)); v_t \in (L^p(0, T; W_0^{1,p}(\Omega)))'\}$$

and define the variational capacity as

$$C_P(K, \Omega_T) = \inf\{\|u\|_{W(\Omega_T)} : u \geq 1_K; u \in C^\infty(\Omega \times \mathbb{R})\} \quad (5)$$

This capacity has been studied in a paper by Droniou, Poretta, Prignet, Pot. Anal. -03. Where the studied the homogeneous Dirichlet measure data problem with L^1 initial data, and showed that there is a unique renormalized solution if the measure does not charge a set of zero capacity.

What about $p > 2$?

It turns out that

$$C(K) \not\approx C_P(K, \Omega_T)^q$$

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Define

$$V = L^p(0, T; W_0^{1,p}(\Omega));$$

$$W = \{u \in V; u_t \in V'\},$$

The norm in the space W does not scale well under intrinsic rescaling, this comes from the dual powers p and p' , thus in order to remedy this we need to consider

$$\|u\|_{V(\Omega_T)}^p + \|u_t\|_{V'(\Omega_T)}^{p'}$$

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This quantity scales quadratically with respect to intrinsic rescaling $\lambda^{-1}u(x, \lambda^{2-p}t)$, which is the same behavior as the energy of a solution to our equation

$$u_t - \Delta_p u = 0.$$

Intrinsic variational capacity for $p > 2$

With all this in mind we will define an intrinsic variational capacity as

$$C_{var}(K, \Omega_T) = \inf\{\lambda^2 : \lambda^2 = \|v\|_{V(\Omega_{\lambda^2-p_T})}^p + \|v_t\|_{V'(\Omega_{\lambda^2-p_T})}^{p'}, \\ v \geq 1_K; v \in C^\infty(\Omega \times \mathbb{R})\}$$

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Some properties of C_{var}

Let $K \subset \Omega_T$ be a compact set

- 1 $C_{var}(K, \Omega_T) < \infty$
- 2 $C_{var}(K_1, \Omega_T) \leq C_{var}(K_2, \Omega_T)$
- 3 $C_{var}(K, \Omega_{T_1}) \leq C_{var}(K, \Omega_{T_2})$ for $T_1 \leq T_2$.
- 4 Let $K_i, i = 1, 2, \dots$ be compact sets in Ω_T then

$$\lim_{i \rightarrow \infty} C_{var}(K_i, \Omega_T) = C_{var}(\bigcap_i K_i, \Omega_T).$$

Global version

Lemma (B.A., Kuusi, Parviainen, DCDS -15)

Define

$$C_{var}(K, \Omega_\infty) = \inf\{\|v\|_{V(\Omega_\infty)}^p + \|v_t\|_{V'(\Omega_\infty)}^{p'}, v \geq 1_K; v \in C^\infty(\Omega \times \mathbb{R})\}$$

then for $K \subset \Omega_\infty$

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Comparison with C_P

The capacity defined in [DPP] w.r.t. to the norm of the space W is related to the variational capacity for $T = \infty$

$$\min\{C_P(K, \Omega_\infty)^p, C_P(K, \Omega_\infty)^{p'}\} \leq C_{var}(K, \Omega_\infty) \leq \max\{C_P(K, \Omega_\infty)^p, C_P(K, \Omega_\infty)^{p'}\}$$

In particular, zero sets coincide.

This is all nice, but what can we do with it?

Define the energy of a p -superparabolic function as

$$\|u\|_{en} = \sup_{0 < t < T} \frac{1}{2} \int_{\Omega} u^2(x, t) dx + \int_0^T \int_{\Omega} |Du|^p dx dt$$

and define the capacity

$$C_{en}(K, \Omega_T) = \inf\{\|u\|_{en} : u \in V \text{ is } p\text{-superparabolic and } u \geq 1_K\}$$

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Theorem (B.A., Kuusi, Parviainen, DCDS -15)

Let K be a finite union of closed space-time cylinders, set $\lambda^2 = C_{var}(K, \Omega_T)$, and suppose that $K \subset \Omega_{\lambda^2 - pT}$. Then

$$C_{var}(K, \Omega_T) \approx C_{en}(K, \Omega_{\lambda^2 - pT})$$

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Theorem (B.A., Kuusi, Parviainen, DCDS -15)

Let K be a finite union of closed space-time cylinders, and suppose that $K \subset \Omega_T$. Then

$$C(K, \Omega_T) \approx C_{en}(K, \Omega_T)$$

Taking a limit!

Note that C_{var} and C_P are stable w.r.t. to decreasing limits of compact sets, but it is unknown whether C_{en} is! This gives our limit result

Theorem (B.A., Kuusi, Parviainen, DCDS -15)

Let K be a compact set, let $\lambda^2 = C_{var}(K, \Omega_T)$, and suppose that $K \subset \Omega_{\lambda^2 - \rho T}$. Then

$$C_{var}(K, \Omega_T) \approx C(K, \Omega_{\lambda^2 - \rho T}).$$

C does not depend on T , thus

$$C_{var}(K, \Omega_T) \approx C(K, \Omega_\infty).$$

Taking a second limit!

Our previous stability result for C_{var} w.r.t. to T gives

Theorem (B.A., Kuusi, Parviainen, DCDS -15)

Let K be a compact set in Ω_∞ then

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Reminder

$$\begin{aligned} C_{var}(K, \Omega_\infty) &= \inf\{\|v\|_{V(\Omega_\infty)}^p + \|v_t\|_{V'(\Omega_\infty)}^{p'}, v \geq 1_K; v \in C^\infty(\Omega \times \mathbb{R})\} \\ C(K, \Omega_\infty) &= \sup\{\mu(\Omega_\infty), 0 \leq u_\mu \leq 1, \text{ in } \Omega_\infty \text{ and } \text{supp } \mu \subset K\} \end{aligned} \quad (6)$$

Estimates of simple sets

Theorem (B.A., Kuusi, Parviainen, DCDS -15)

Let $\varphi : [t_1, t_2] \rightarrow \Omega$ be a Lipschitz curve and let $K \subset \Omega$ be a set with elliptic p -capacity 0, then the set

$$K_\varphi = \{(x + \varphi(t), t) : x \in K, t \in [t_1, t_2]\}$$

has parabolic capacity 0.

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Theorem (B.A., Kuusi, Parviainen, DCDS -15)

Let $K \subset \Omega_\infty$ be a compact set, then

$$\int_0^\infty \text{cap}_p(\pi_t(K), \Omega) dt \leq C_{\text{var}}(K, \Omega_\infty)$$

where $\pi_t(K) = \{x : (x, t) \in K\}$.

Estimates of simple sets

Theorem (B.A., Kuusi, Parviainen, DCDS -15)

Let $Q_r = B(x_0, r) \times (t_0 - \tau, t_0)$ where $\tau < t_0$ and $Q_{2r} \subset \Omega_T$, then for $2 \leq p < n$

$$C(\overline{Q}_r, \Omega_\infty) \approx r^n + \tau r^{n-p}$$

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Theorem (B.A., Kuusi, Parviainen, DCDS -15)

Let $Q_r^+ = B(x_0, r) \times (t_0, t_0 + \tau)$ be such that $Q_{2r} \subset \Omega_\infty$ and let

$$\mathcal{H} = \{(x, h(x)) : x \in \overline{B}(x_0, r)\}$$

where $h \in C(\mathbb{R}^n)$ satisfies $h(x) = t_0$ on $\partial B(x_0, r)$ and $\mathcal{H} \subset Q_r^+$. Then

$$c^{-1} \left(\int_0^\infty \text{cap}_p(\pi_t(\mathcal{H}), \Omega) dt + r^n \right) \leq C(\mathcal{H}, \Omega_\infty) \leq c(r^n + \tau r^{n-p})$$

with $c = c(n, p)$.



B. Avelin, T. Kuusi, and M. Parviainen.

Variational parabolic capacity.

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